SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person* Statement (Month/Day/Year) MFA FINANCIAL, INC. [MFA] Wulfsohn Bryan 01/01/2015 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) 5. If Amendment, Date of Original Filed (Month/Day/Year) (Last) (First) (Middle) Director 10% Owner C/O MFA FINANCIAL. INC. Officer (give title Other (specify Х below) below) 350 PARK AVENUE, 20TH FLOOR Senior Vice President 6. Individual or Joint/Group Filing (Check (Street) Applicable Line) NEW YORK, 10022 NY X Form filed by One Reporting Person Form filed by More than One Reporting (City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	
COMMON STOCK, PAR VALUE \$0.01 PER SHARE	35,352	D	
7.5% Series B Cumulative Redeemable Preferred Stock	0	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)			3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		or Exercise	Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	
Phantom Shares	(1)	(1)	Common Stock	26,250	(2)	D	
Phantom Shares	(3)	(3)	Common Stock	15,000	(2)	D	
Phantom Shares	(4)	(4)	Common Stock	15,000	(2)	D	

Explanation of Responses:

1. These phantom shares vested on December 31, 2014, and will be settled in an equivalent number of shares of MFA common stock on the earlier of termination of service with MFA or January 4, 2016.

2. Each phantom share represents the right to receive one share of MFA Financial, Inc. common stock.

3. These phantom shares are scheduled to vest, subject to forfeiture, on December 31, 2016, and thereafter will be settled in an equivalent number of shares of MFA common stock within 15 days following the vesting date.

4. These phantom shares are performance-based equity awards. The number of phantom shares reported represents the target number of phantom shares granted. The number of underlying shares of MFA common stock that vest and that the recipient becomes entitled to receive at the time of vesting will generally range from 0% to 200% of the target number of phantom shares granted, subject to the achievement of a pre-established performance metric. The vesting of these phantom shares will generally occur on December 31, 2016, based on MFA's total stockholder return for the three years then ended. The number of phantom shares to vest will be adjusted to reflect the value of any dividends paid on MFA common stock during the vesting period in respect of the number of phantom shares that ultimately vest. The phantom shares will be settled in an equivalent number of shares of MFA common stock within 30 days following the vesting date.

Remarks:

<u>/s/Bryan Wulfsohn</u>

** Signature of Reporting Person

01/12/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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